

THE LAW OFFICES OF
ANDREW D. FORTNEY, Ph.D., P.C.
7257 N. MAPLE AVENUE, SUITE 107
FRESNO, CALIFORNIA 93720

WWW.FORTNEYLAW.COM

TELEPHONE: (559) 299-0128

FAX: (559) 299-0118

EMAIL: DREW@FORTNEYLAW.COM

RECEIVED
CENTRAL FAX CENTER

APR 01 2005

ATTN: MAIL STOP AMENDMENT

COMPANY: COMMISSIONER FOR PATENTS / P.O. Box 1450 / ALEXANDRIA, VA 22313-1450

FAX: 1 (703) 872-9306

DATE: 04-01-2005

TIME: 5:20 PM

- ☐ WITH CONFIRMATION
☒ WITHOUT CONFIRMATION

RE: APPLICATION NO. 10/616,147
FILED: 07-08-2003

I hereby certify that this document and all documents listed below are being transmitted via facsimile to Commissioner for Patents, Fax No. (703) 872-9306, on April 1, 2005.

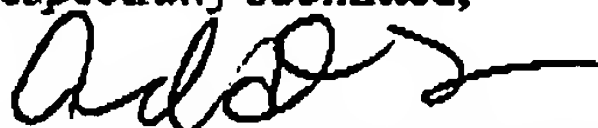
By:


Jennie Heaton

SUBMITTED HERewith FOR THE ABOVE-CAPTIONED APPLICATION:

- Transmittal Form
- Request to Enter and Consider IDS and Correct Filing Date (2 Pgs.)
- Information Disclosure Statement (2 Pgs.)
- Copy of IDS Form ITO/SB/DRA Date Stamped 'SEP 20 2004'
- Copy of Transmittal Form Date Stamped 'SEP 20 2004'
- Copy of Return Receipt Postcard
- Patent Application Information Retrieval (PAIR) Database Information (2 Pgs.)

Respectfully submitted,



Andrew D. Fortney, Ph.D.; Reg. No. 34,600

PLEASE DIRECT ANY QUESTIONS REGARDING THE TRANSMISSION OF THIS FAX TO:
(559) 299-0128 / FAX (559) 299-0118 / DREW@FORTNEYLAW.COM

THIS FAX CONTAINS 11 PAGE(S) INCLUDING THIS ONE.

This message is intended for its recipient(s) only, and may contain attorney-client privileged communications, attorney work product or other privileged communications. No waiver of any applicable legal privilege is intended by this transmission. If this transmission is received in error by other than the intended recipient(s), please notify the Law Offices of Andrew Fortney at the phone/fax number listed at the top of this page and then please return or destroy the transmitted document. Thank you for your cooperation and assistance.

PTO/SB/21 (09-04)

Approved for use through 07/31/2008. OMB 0851-0031


U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

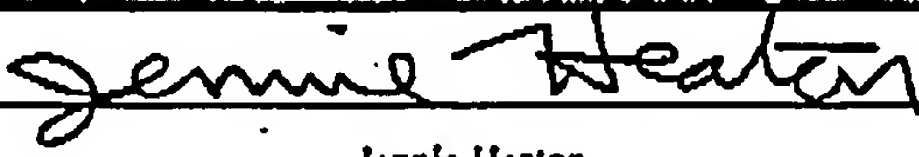
TRANSMITTAL FORM	Application Number	10/616,147
	Filing Date	07-08-2003
	First Named Inventor	Klaus KUNZE et al.
	Art Unit	1775
	Examiner Name	
(to be used for all correspondence after initial filing)		
Total Number of Pages in This Submission	11	Attorney Docket Number KOV-004

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): (See Remarks Below)
Remarks - Fax Transmission Cover Sheet - Request to Enter and Consider IDS and Correct Filing Date (2 Pgs.) - Copy of IDS Form PTO/SB/08A Date Stamped 'SEP 20 2004' - Copy of Transmittal Form Date Stamped 'SEP 20 2004' - Copy of Return Receipt Postcard - Patent Application Information Retrieval (PAIR) Database Information (2 Pgs.)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	The Law Offices of Andrew D. Fortney, Ph.D., P.C.		
Signature			
Printed name	Andrew D. Fortney, Ph.D.		
Date	04-01-2005	Reg. No.	34,600

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Jennie Heaton	Date	04-01-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

THE LAW OFFICES OF
ANDREW D. FORTNEY, PH.D., P.C.

7257 N. MAPLE AVENUE, SUITE 107
FRESNO, CALIFORNIA 93720

WWW.FORTNEYLAW.COM

TELEPHONE: (559) 299-0128

FAX: (559) 299-0118

CELL: (559) 999-3656

EMAIL: DREW@FORTNEYLAW.COM

RECEIVED
CENTRAL FAX CENTER

APR 01 2005

April 1, 2005

Application Number:	10/616,147
Filing Date:	July 8, 2003
First Named Inventor:	Klaus KUNZE et al.
Attorney Docket No.:	KOV-004
For: COMPOSITIONS AND METHODS FOR FORMING A SEMICONDUCTING AND/OR SILICON-CONTAINING FILM, AND STRUCTURES FORMED THEREFROM	

I hereby certify that this document is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, facsimile no. (703) 872-9306, on April 1, 2005.

By:


Jennie K. Heaton

REQUEST TO ENTER AND CONSIDER INFORMATION DISCLOSURE STATEMENT,
AND CORRECT FILING DATE OF SAME

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
(703) 872-9306

To Whom it May Concern:

Applicant's undersigned representative respectfully requests that the U.S. Patent and Trademark Office (hereinafter, "PTO") enter the attached Information Disclosure Statement ("IDS") for the above-referenced Application. Applicant's undersigned representative further requests that the PTO update its records to reflect the fact that the attached IDS Form PTO/SB/08A was originally filed with the PTO on September 14, 2004.

Atty. Docket No. KOV-004
Serial No: 10/616,147

The attached IDS Form PTO/SB/08A bears a stamp of the Office of Initial Patent Examination ("OIPE") dated September 20, 2004; the Transmittal Form dated September 14, 2004 (date-stamped September 20, 2004 by OIPE) indicates that the IDS form was filed on that date; and the return receipt postcard date-stamped September 20, 2004 indicates that the IDS form was received on that date. However, the mailing which contained the original IDS form also contained matters for the Assignment Division, where the entire contents of the mailing were apparently forwarded. (See, for example, the enclosed printout from the PTO Patent Application Information Retrieval database for the present application, which does not indicate receipt of any IDS after November 18, 2003.) The Assignment Division subsequently returned the documents, including the IDS Form PTO/SB/08A, as non-recordable.

Applicant's undersigned representative respectfully requests that the PTO:

1. Enter and consider the information cited in the attached IDS under 37 C.F.R. § 1.97(b)(3), as no Office Action on the merits of the above-referenced application had been mailed as of the time of its original submission on September 20, 2004, or is believed to have been mailed at the time of this submission; and
2. Update its records to reflect the fact that the attached IDS Form PTO/SB/08A was originally submitted on September 14, 2004.

Further facts in support of this matter can be provided on request. Notice of receipt of the attached IDS, and of recordation of the original filing date, are respectfully requested.

Respectfully submitted,



Andrew D. Fortney, Ph.D.

Reg. No. 34,600

THE LAW OFFICES OF ANDREW D. FORTNEY, PH.D., P.C.

ADF:abp



PTO/SB/21 (02-04)
 Approved for use through 07/31/2005. OMB 0851-0031
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/616,147
		Filing Date	07-08-2003
		First Named Inventor	Klaus KUNZE et al.
		Art Unit	1775
		Examiner Name	
Total Number of Pages in This Submission	6	Attorney Docket Number	KOV-004

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Request to Correct Assignment Recordation Records -Patent Assignment Abstract of Title -Recorded Recordation Form Cover Sheet -Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Andrew D. Fortney, Ph.D., Reg. No. 34,600
Signature	
Date	09-14-2004

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Jennie Heaton	
Signature		Date 09-14-2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Atty Docket No. KOV-004

Received at USPTO, on the Date Stamped Hereon:

Transmittal Form;
Request to Correct Assignment Recordation Records;
Patent Assignment Abstract of Title;
Recorded Recordation Form Cover Sheet;
Information Disclosure Statement;
Return Receipt Postcard

